

# PATTERN USAGE IN MONOPHONIC JAZZ SOLOS

Klaus Frieler

Institut für Musikwissenschaft, Weimar-Jena



HOW DO THEY IMPROVISE?

# THE BIG PICTURE

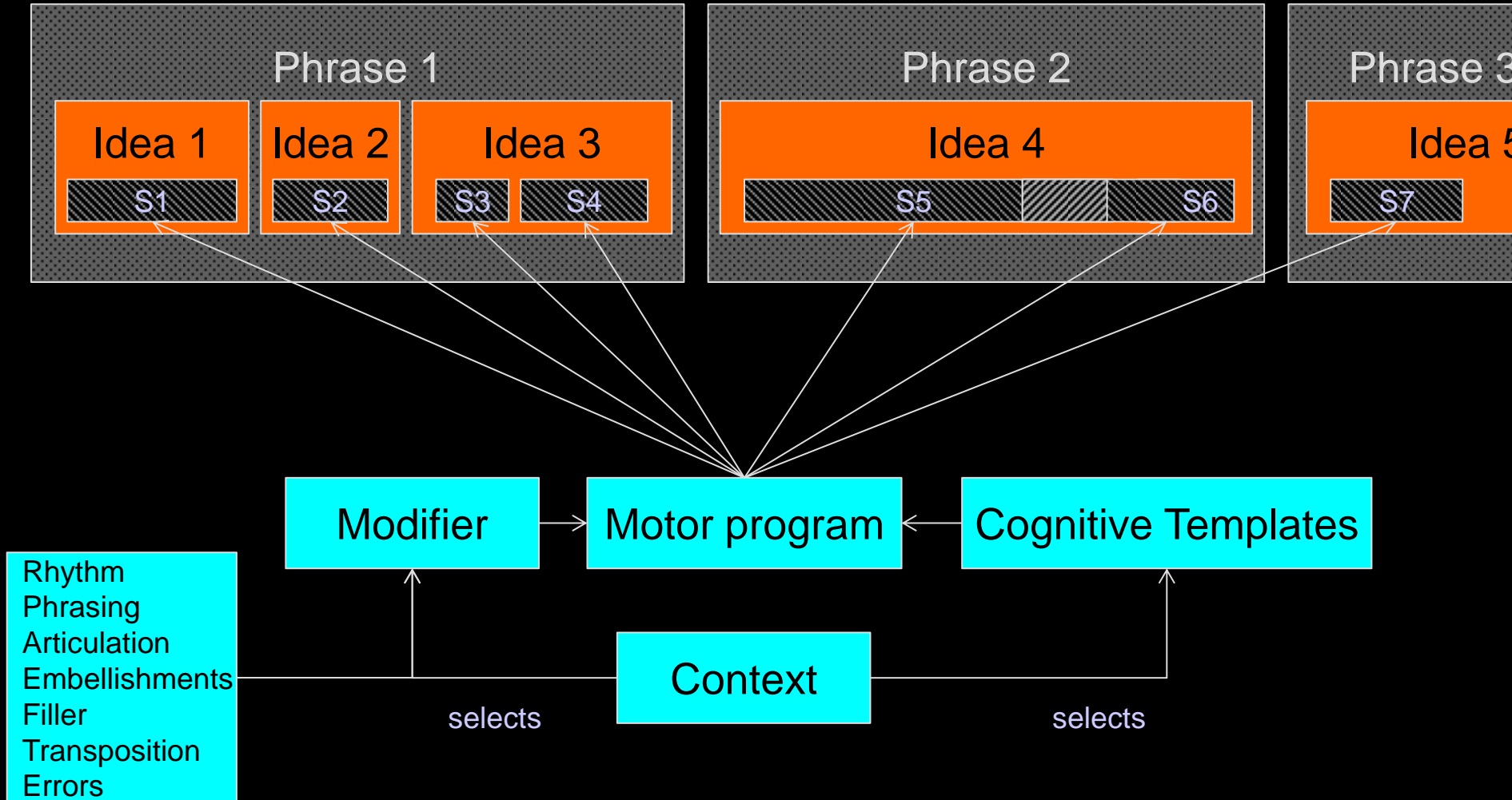
- Why does an improviser play exactly this note and not another one?
- Why do they play a note at this time point and not at another time point?
- Little is known about the “true” low-level neural mechanism that make action decisions and control actual motor behavior.
- Likewise about underlying psychological mechanism.
- However, some basic facts & constraints are known.

# ISSUES

- Are the psychological processes the same in absolute beginners and in professionals?
- Are the psychological processes the same among professionals, styles, genres, tempo etc.?
- How is consciousness is involved?
- (BTW: What is consciousness?)
- How much is essential randomness involved?
  - Epistemological sidestep: As long as the underlying (causal) mechanisms are not fully known, improvisation must be considered a random process.
  - Note: Random process does NOT mean erratic or without structure or intention.



# IDEATIONAL FLOW MODEL



**Short-term**

- **IMP** (immediate musical past)
- **Last Ideas**
- **Mood**
- **Flow**

- **Fellow Musicians**
- **Audience**

**Context**

**Long-term**

- **Musical Training**
- **Music Theory**
- **Musical Preferences**
- **Personality**
- **Persona**

**Internal**

**External**

# IMPROVISATIONAL PROCESS

- Conscious or semi-conscious planning of mid-level ideas.
- Semi- or unconscious selection of patterns from memory fitting the idea and the current musical context.
- Shaping and modification of raw patterns according to idea, context and intended expression.
- Recent own ideas and fellow musicians are main inspiration: continuation, contrast, expression, “energy”, external input (reactive).
- Higher order patterns of ideas and ideational flows.



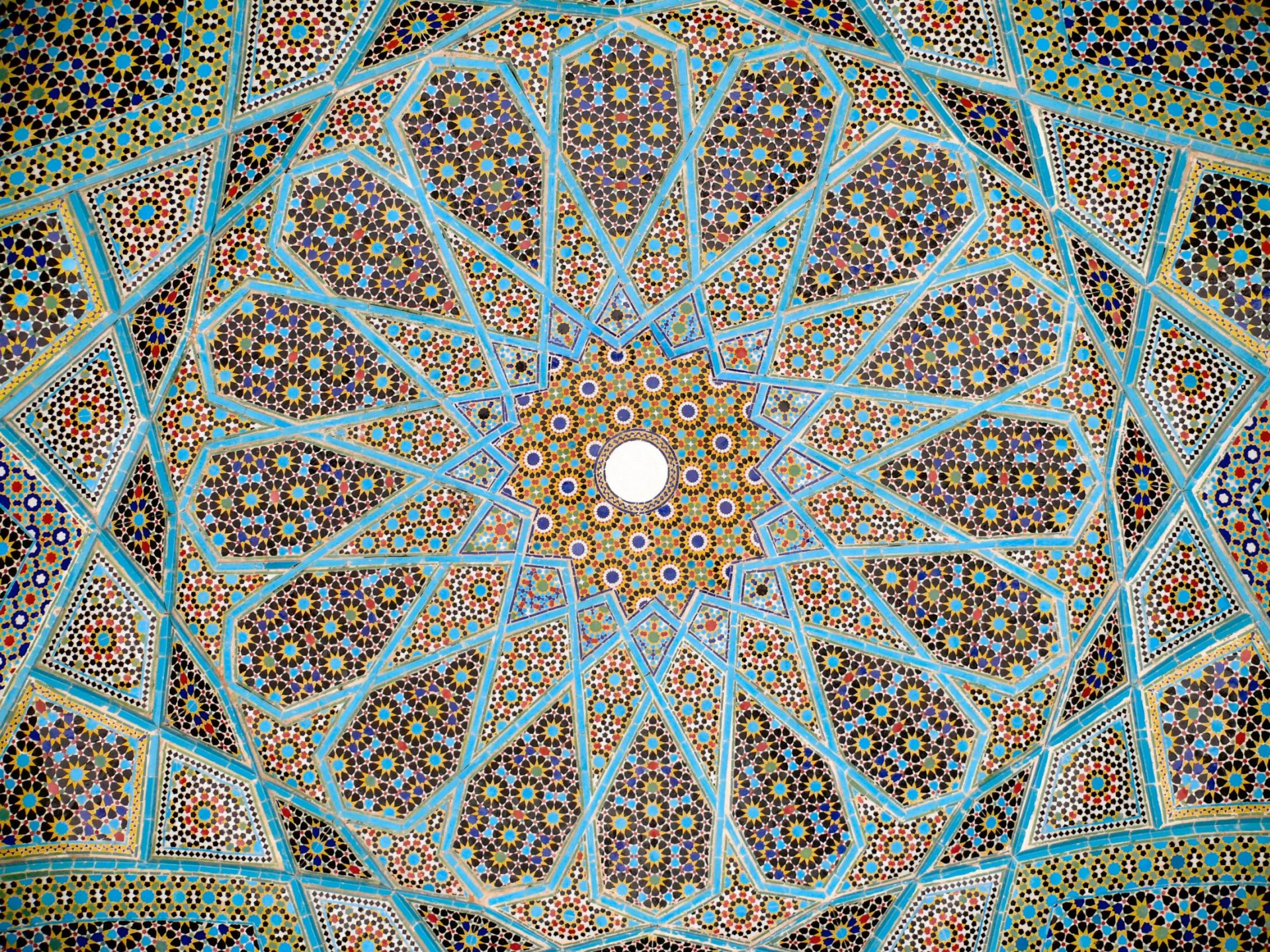
SO, WHAT ARE PATTERNS?















# TERMINOLOGY

- No clear definition of “pattern”, “formula”, “lick”, “riff”, or “dittey”.
- “Pattern”, “formula” more often used in scientific contexts.
- “Lick”, “riff” more common in jazz musician lingo.

# TERMINOLOGY

- **Patterns are statistically recurring building blocks.**
- Patterns can be occur on different hierarchical levels (tone event level, abstraction level, idea level).
- (Proposal: Introduce term “trope” for general patterns and reserve “pattern” for patterns on event level. Connotation to narratological concepts)
- **Licks are (short) sequences with certain musical properties.**
- Licks are not necessarily a subset of patterns.
- Tentatively: Formula are abstract patterns that can be used to construct patterns (e.g. digitals).

## EXAMPLE: LICKS

- 18-note lick by Bob Berg on „Angles“.  
[-2, 1, 1, -2, 1, -1, -1, -1, -1, 2, 2, -4, 2, -1, -1, 4, -2]



- 17-note Charlie Parker-Lick: „Scrapple from the Apple“ & „Billies Bounce“.  
[-1, -2, -2, -2, -1, -2, -2, -1, 3, 3, 3, 2, -3, -2, 2, -3]
- A (Bebop) lick typically contains a mixture of scale-parts and arpeggios, often with turns, a few unusual intervals and chromatic approaches. (Blues licks? Latin licks?)





# ABSTRACTIONS

- What is the “real” event space for tone events?
- Even a faithful description (onset, pitch, duration etc.) is only a rough proxy for the neuronal patterns.
- Much too complex to model thoroughly (time continuous, high-dimensional).
- Approach: Use derived, simple representations (abstractions, transformation view-points).
- First approximation: Discard rhythm, only pitch sequences.
- Derived abstractions: Pitch, pitch class, interval (class), tonal (diatonic) pitch class, chordal (diatonic pitch class).

# ABSTRACTIONS

- Assumptions:
  - Pitch patterns and rhythmic patterns are approx. independent.
  - Pitch patterns are partly transposition-invariant.
  - Hence, interval patterns are meaningful.
- Issues:
  - Complex interaction between different pitch based representations (only partly equivalent).
  - Instrument dependencies (e.g., transposition on guitar much easier than on wind instruments, ease of realization).
  - Chords and keys are not uniformly distributed.

# EXPERIMENT

- In any discrete random process, patterns will occur.
- Question: How to discern “real” patterns from chance patterns?
- Approach: Compare real patterns structure with pattern structure from simulated solos using unigram distributions (Markov process 0<sup>th</sup> order.)
- For now: Only interval representation.
- Minimal working definition: Patterns are N-Grams occurring at least twice.
- Sampling problem

# DATA

- 204 monophonic solos by 60 improvisers taken from the Weimar Jazz Database.
- Note counts: 72-4955, Median: 352.5, Total: 90401.
- Mostly wind instrument, some guitars.

Art Pepper	4	David Murray	4	John Abercrombie	1	Pat Martino	1
Ben Webster	4	Dexter Gordon	4	John Coltrane	12	Paul Desmond	7
Benny Carter	2	Dickie Wells	2	Johnny Dodds	1	Rex Stewart	1
Benny Goodman	6	Dizzy Gillespie	4	Joshua Redman	5	Roy Eldridge	6
Bix Beiderbecke	2	Don Byas	5	Kenny Dorham	4	Sonny Rollins	8
Bob Berg	5	Don Ellis	2	Kenny Garrett	2	Sonny Stitt	2
Buck Clayton	3	Eric Dolphy	1	Lee Konitz	3	Stan Getz	4
Cannonball Adderley	5	Fats Navarro	2	Lee Morgan	1	Steve Coleman	2
Charlie Parker	4	Freddie Hubbard	5	Lester Young	4	Steve Lacy	4
Chet Baker	6	Gerry Mulligan	1	Lionel Hampton	1	Steve Turre	3
Chu Berry	1	Hank Mobley	2	Michael Brecker	2	Warne Marsh	2
Clifford Brown	4	Harry Edison	1	Miles Davis	7	Wayne Shorter	7
Coleman Hawkins	5	J.J. Johnson	2	Milt Jackson	1	Woody Shaw	4
Curtis Fuller	2	Joe Henderson	6	Nat Adderley	1	Wynton Marsalis	2
David Liebman	4	Joe Lovano	2	Ornette Coleman	4	Zoot Sims	2

## METHOD: N-GRAMS

- Calculate maximal N-gram partitions for interval-sequences for a range of N's (using `melpat`).
- Maximal N-gram length: 30.
- Minimal N-gram lengths: 3, 5, 7, 9, 11.
- Keep N-Grams that occur at least twice.
- Discard proper sub-patterns.
- Filter trills.
- Simulation of 204 solos (matching lengths) based on interval distribution.
- Same procedure for simulated sequences.
- Approx. 10h computing time

# EXAMPLE: PATTERN PARTITION (TRANE: GIANT STEPS, PITCH PATTERN)

The image displays a musical score in three staves, annotated with handwritten lines and letters to illustrate pattern partitioning. The annotations include:

- Staff 1:** A green bracket labeled "ee" spans from measure 145 to the end of the staff. A blue bracket labeled "e" spans the first measure.
- Staff 2:** A red bracket labeled "zz" spans the first two measures. A green bracket labeled "g" spans from the start of the staff to the end of the second measure. A green bracket labeled "ddd" spans from the start of the third measure to the end of the fourth measure. A blue bracket labeled "w" spans the first measure. An orange bracket labeled "x" spans the first two measures. A blue bracket labeled "n" spans the first measure. A blue bracket labeled "150" spans the last two measures.
- Staff 3:** A green bracket labeled "jj" spans from the start of the staff to the end of the second measure. A blue bracket labeled "w" spans the first measure. An orange bracket labeled "x" spans the first two measures. A blue bracket labeled "3" spans a triplet in the second measure.

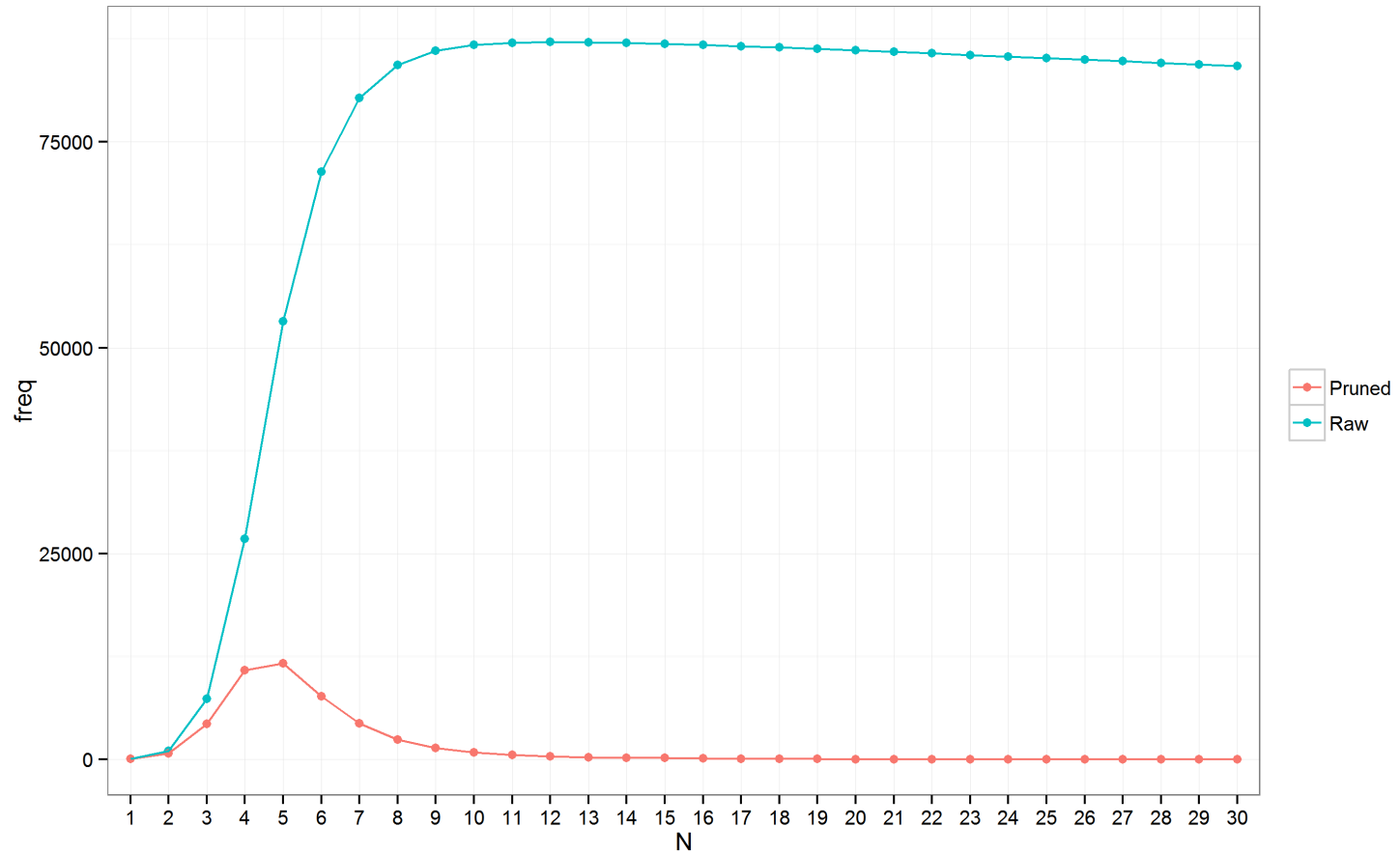


# METHOD: PATTERN FEATURES

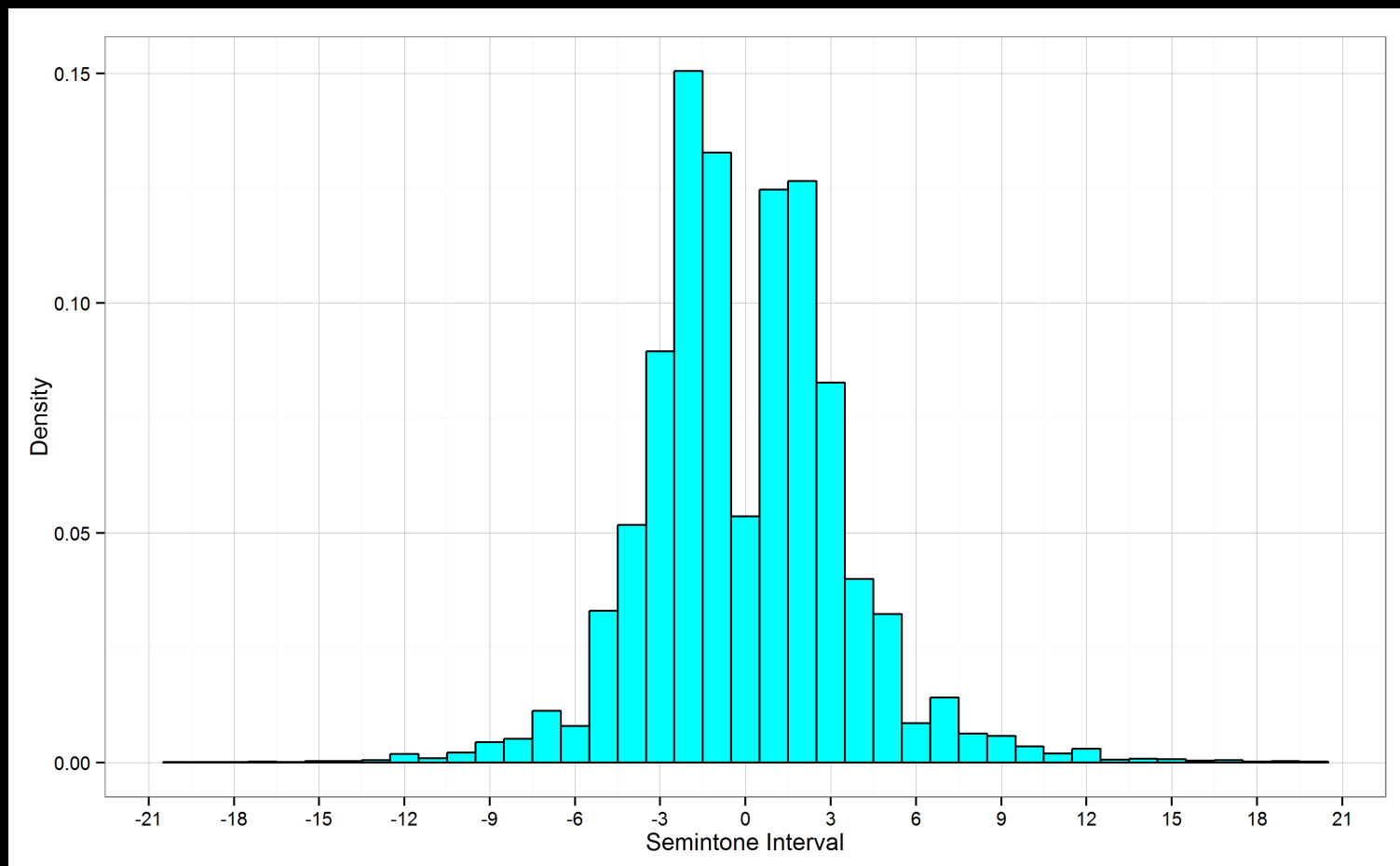
- Calculate features for N-Gram-Partitions:
  - Average pattern length,
  - coverage (percentage of elements contained in at least one pattern),
  - logarithm of excess probability  $\log(p_{\text{exp}}/p_0)$ ,
  - average overlap between patterns,
  - number of patterns.
- Additional metadata from the database:
  - Performer,
  - style, red. style (traditional/modern),
  - tempo class, red. tempo class (slow, medium, up).



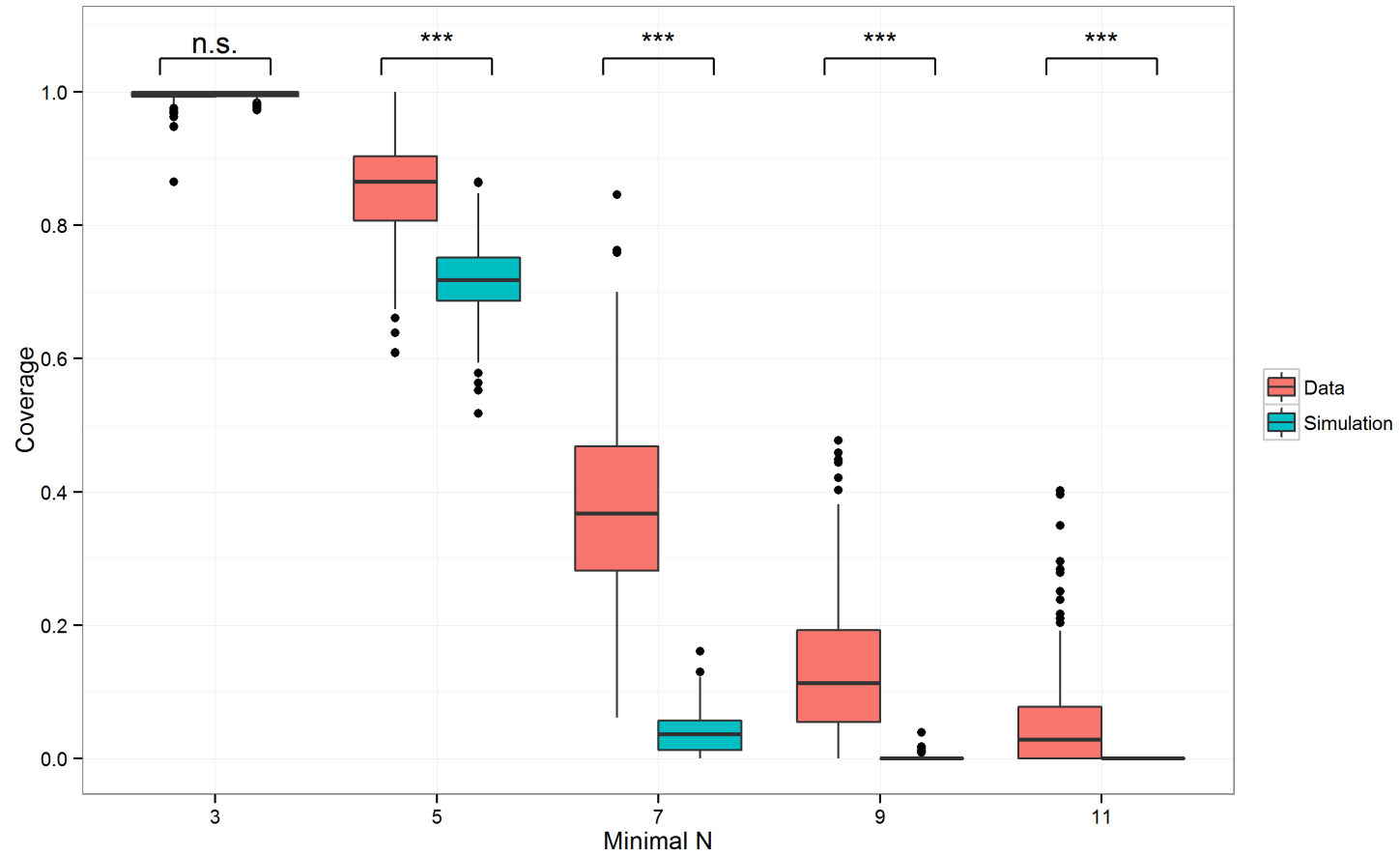
# RESULTS: NGRAM STATS



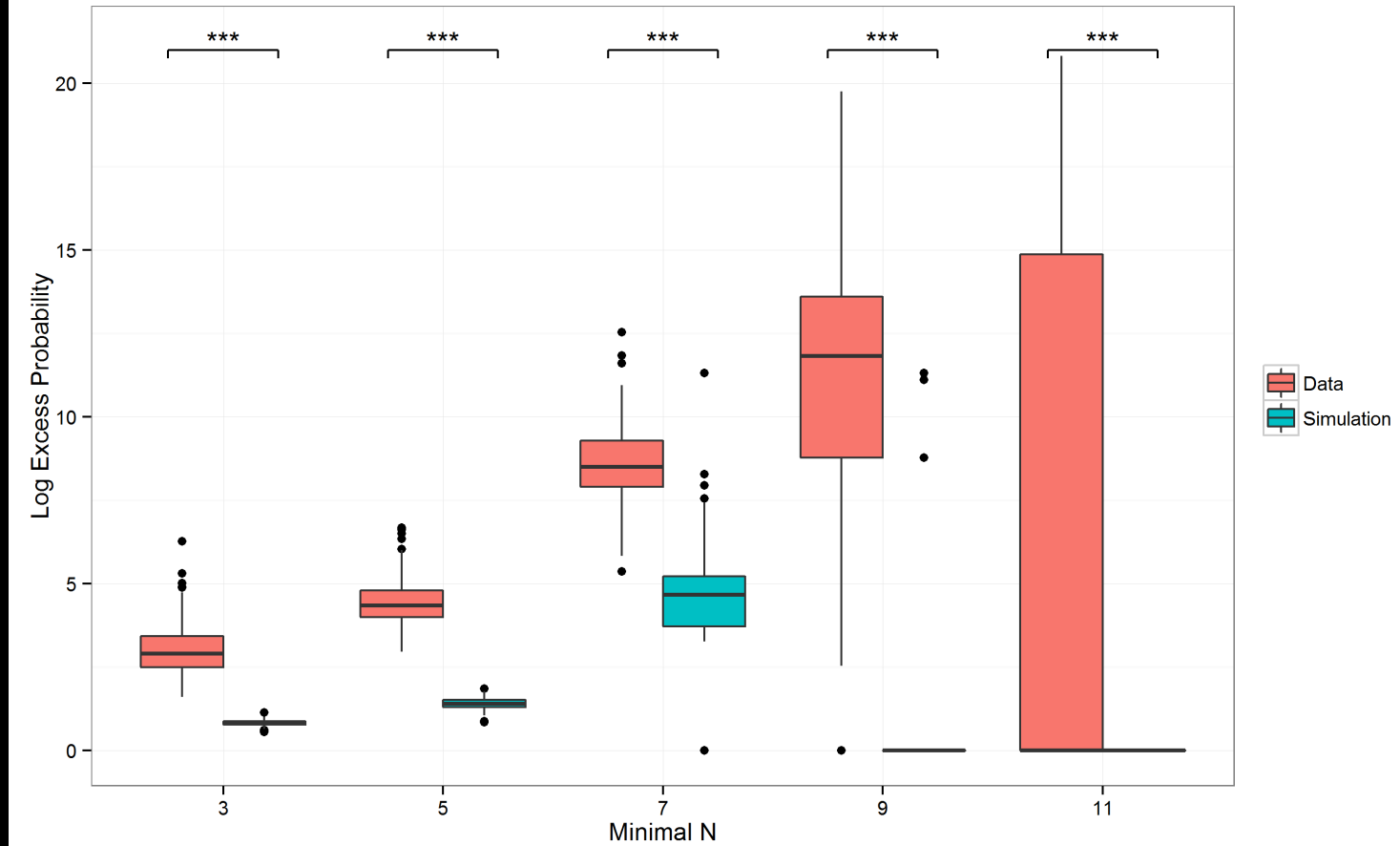
# RESULTS: INTERVAL DISTRIBUTION



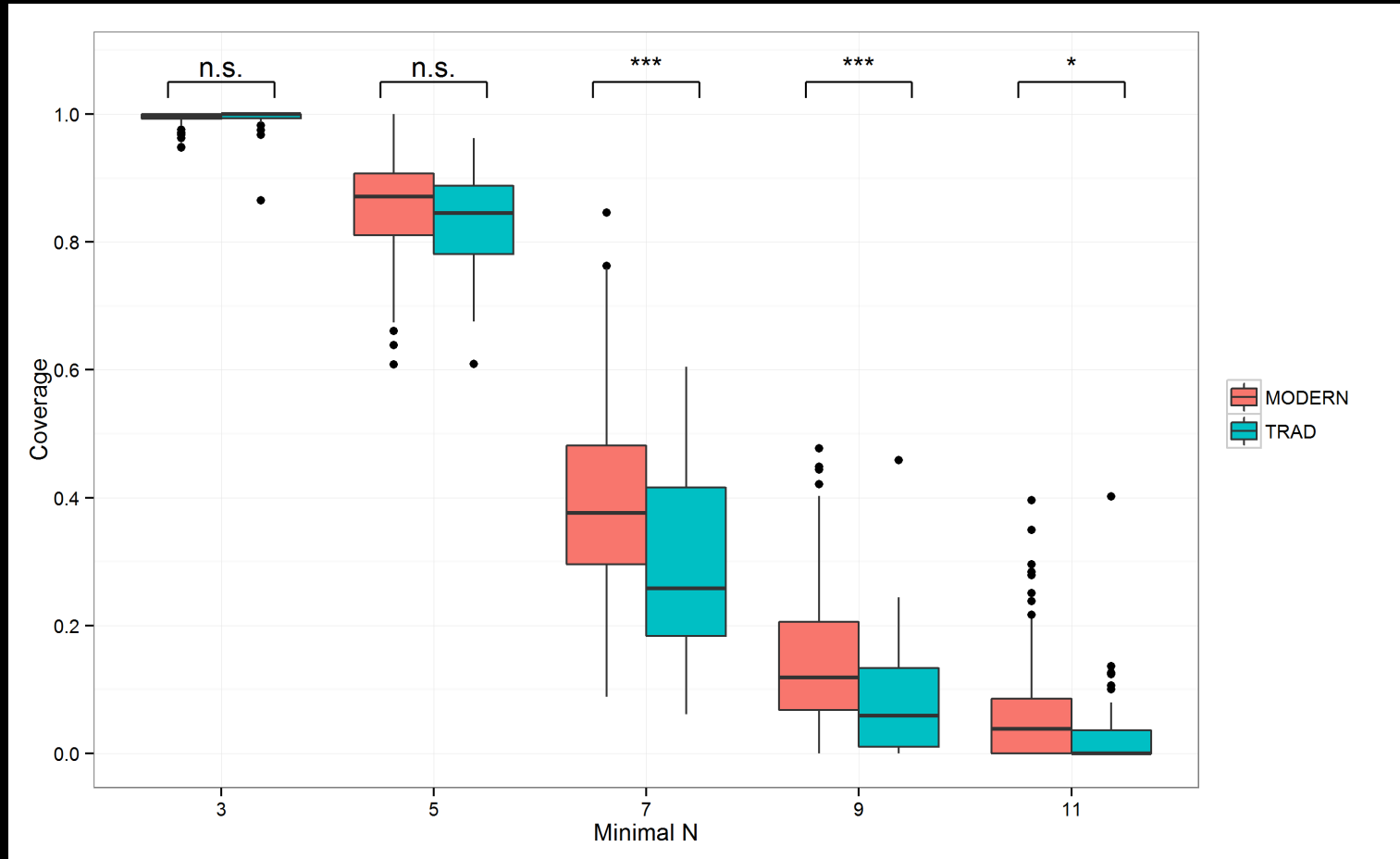
# RESULTS: PATTERN COVERAGE



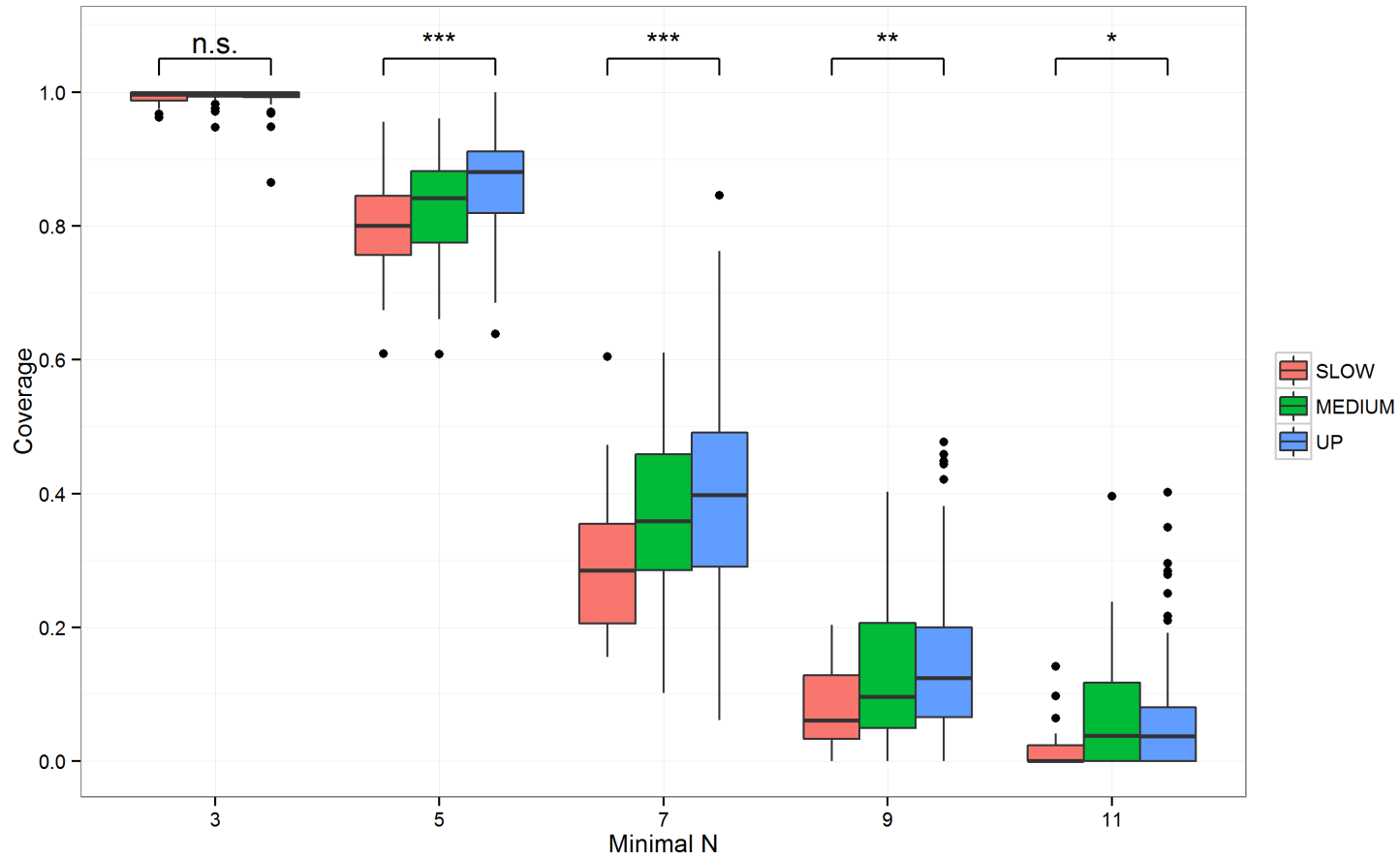
# RESULTS: LOG EXCESS PROBABILITY



# RESULTS: PATTERN COVERAGE BY STYLE



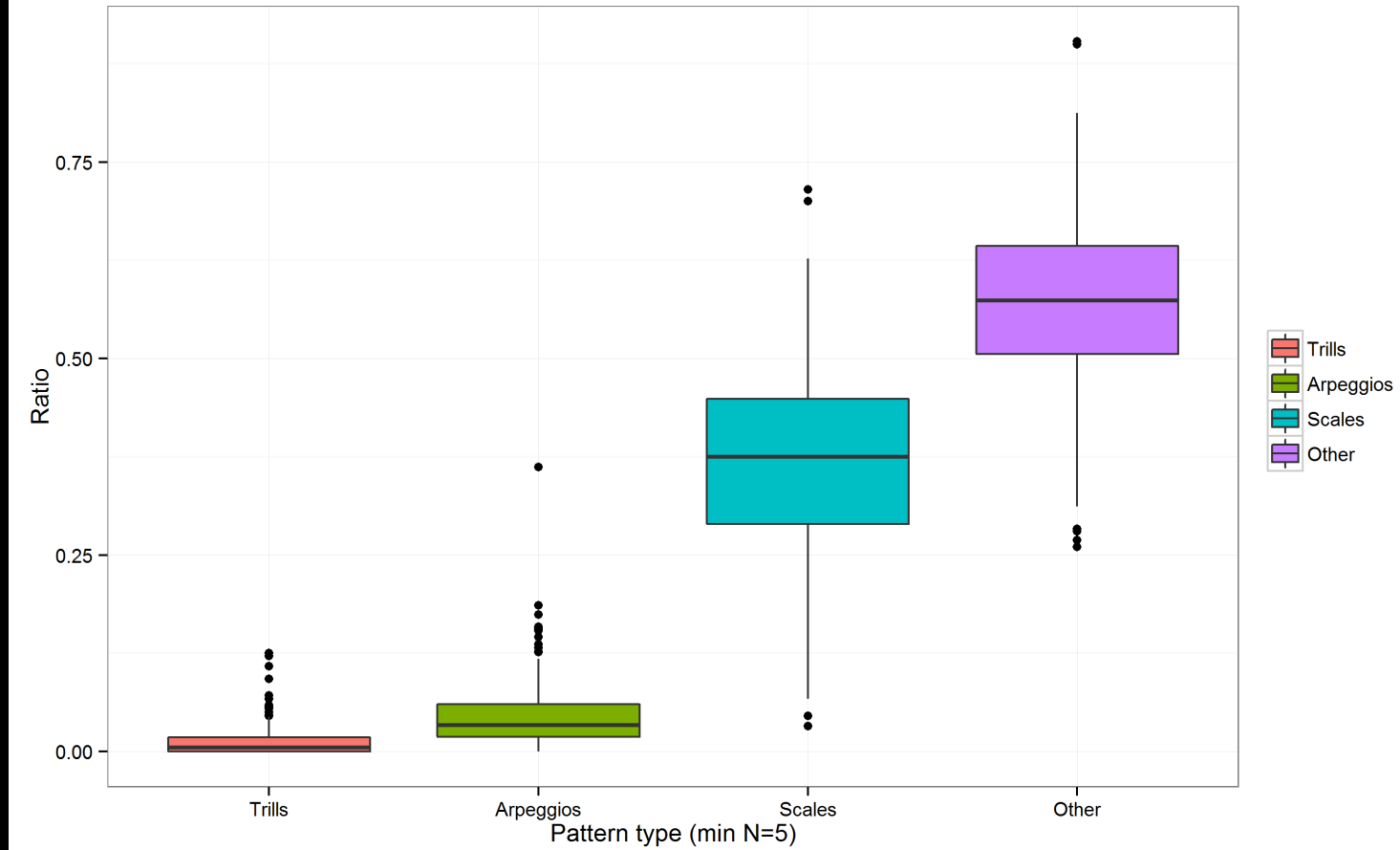
# RESULTS: PATTERN COVERAGE BY TEMPO



# PATTERN TYPES

- Rough classification of patterns into four classes:
  - **Trill**: Repetitions of P notes (P=2-7)
  - **Arpeggios**: Directed sequences of min./maj. thirds.
  - **Scale-like**: Directed sequences of min./maj. seconds.
  - **Other (Licks)**: Everything else.

# PATTERN TYPES (MIN N=5)





# SUMMARY

- Patterns are ubiquitous.
- Pattern length of 5 intervals (6 tones) most significant, 40% coverage.
- Short patterns (N=3) seem to be random, but log excess probability proves otherwise.
- From bebop onwards increased pattern usage (but not much).
- More patterns in higher tempo (reducing cognitive load).
- Other (licks) and scale-like patterns are the most common (very rough classification).

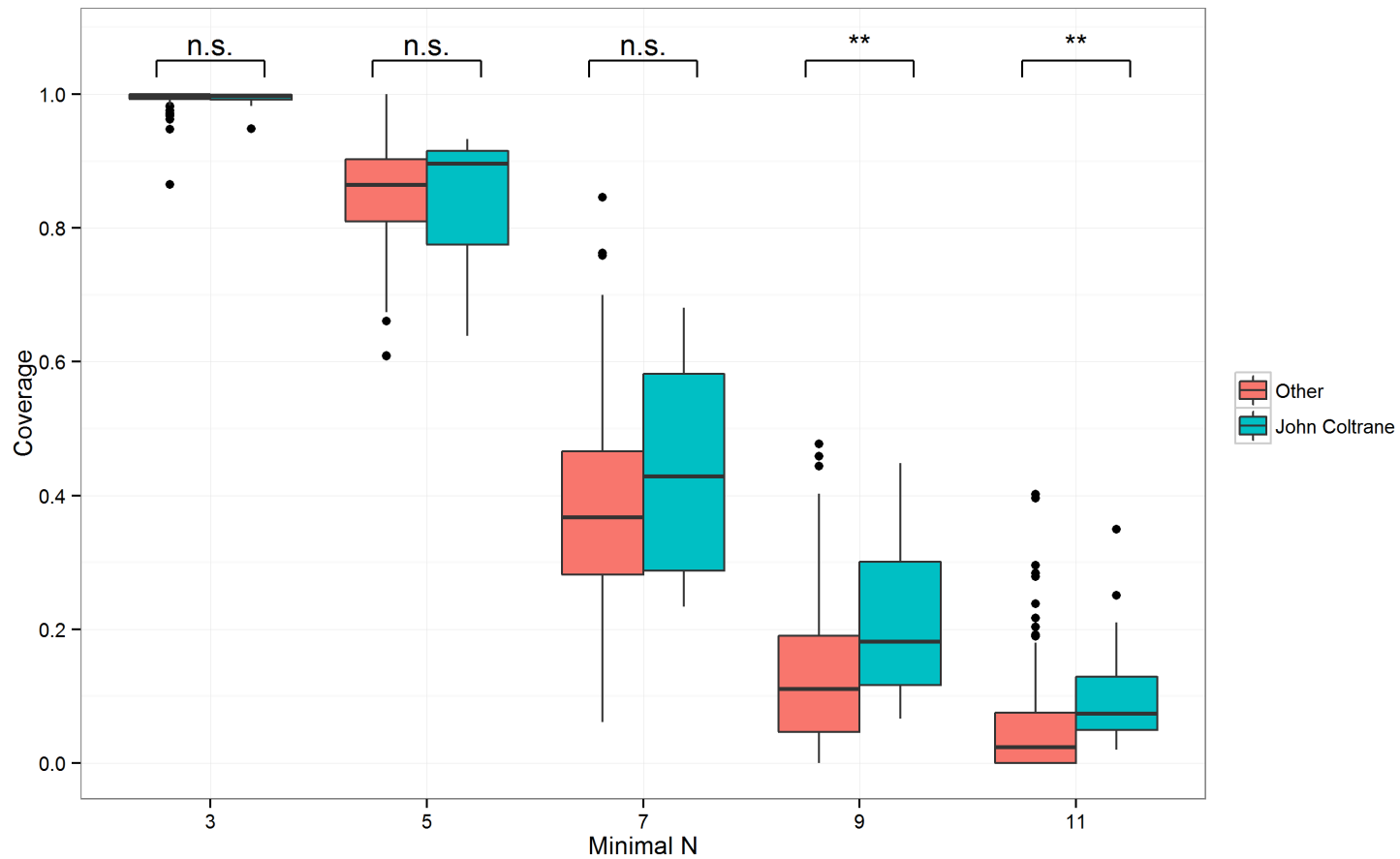
## SOME MORE OBSERVATIONS

- Patterns strongly tend to occur in the same solo, not across solos.
- Pattern tend to occur in similar musical contexts and with similar musical shape (rhythm, chord, meter).
- Rather few systematic differences between performers.

## SOME MORE OBSERVATIONS

- Scale-like patterns are more often used in modern than in traditional style (#scale-like patterns / notes,  $p < 0.0062^{**}$ ,  $d = .46$ )
- Scale-like patterns are more often used in higher tempo (#scale like patterns / notes,  $p < 0.0162^*$ ,  $\eta^2 = .0446$ )
- John Coltrane is an exceptional case in excessive pattern usage (modal & motivic improvisations).

# RESULTS: COVERAGE COLTRANE VS. OTHERS

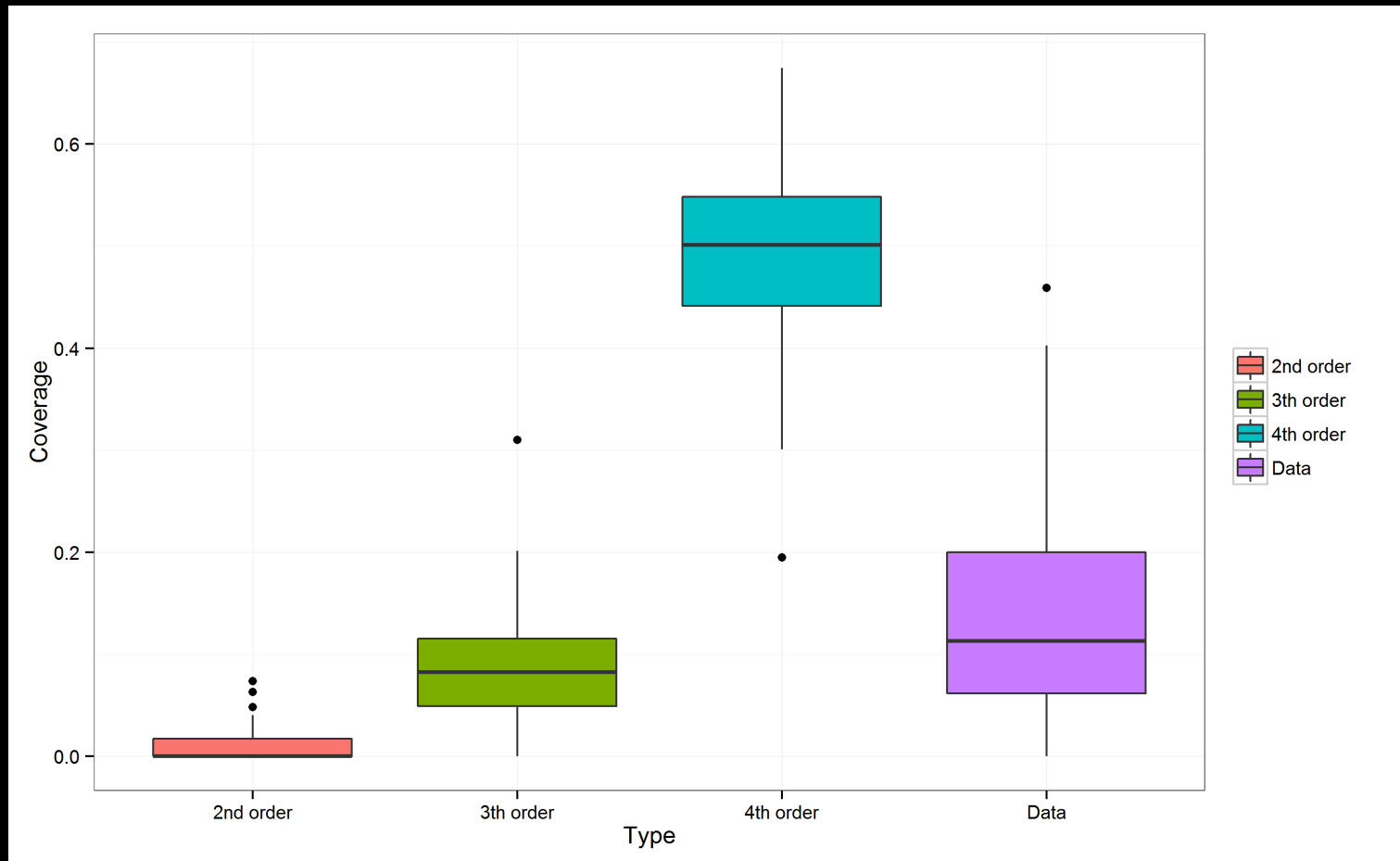


# OUTLOOK

- Simulation with unigram model might be too simple, →using higher order models (computing intensive).
- More elaborate pattern classification.
- Using and comparing different representations.
- Differentiating sequences and motivic improvisation from stock patterns..
- Fuzzy pattern matching.

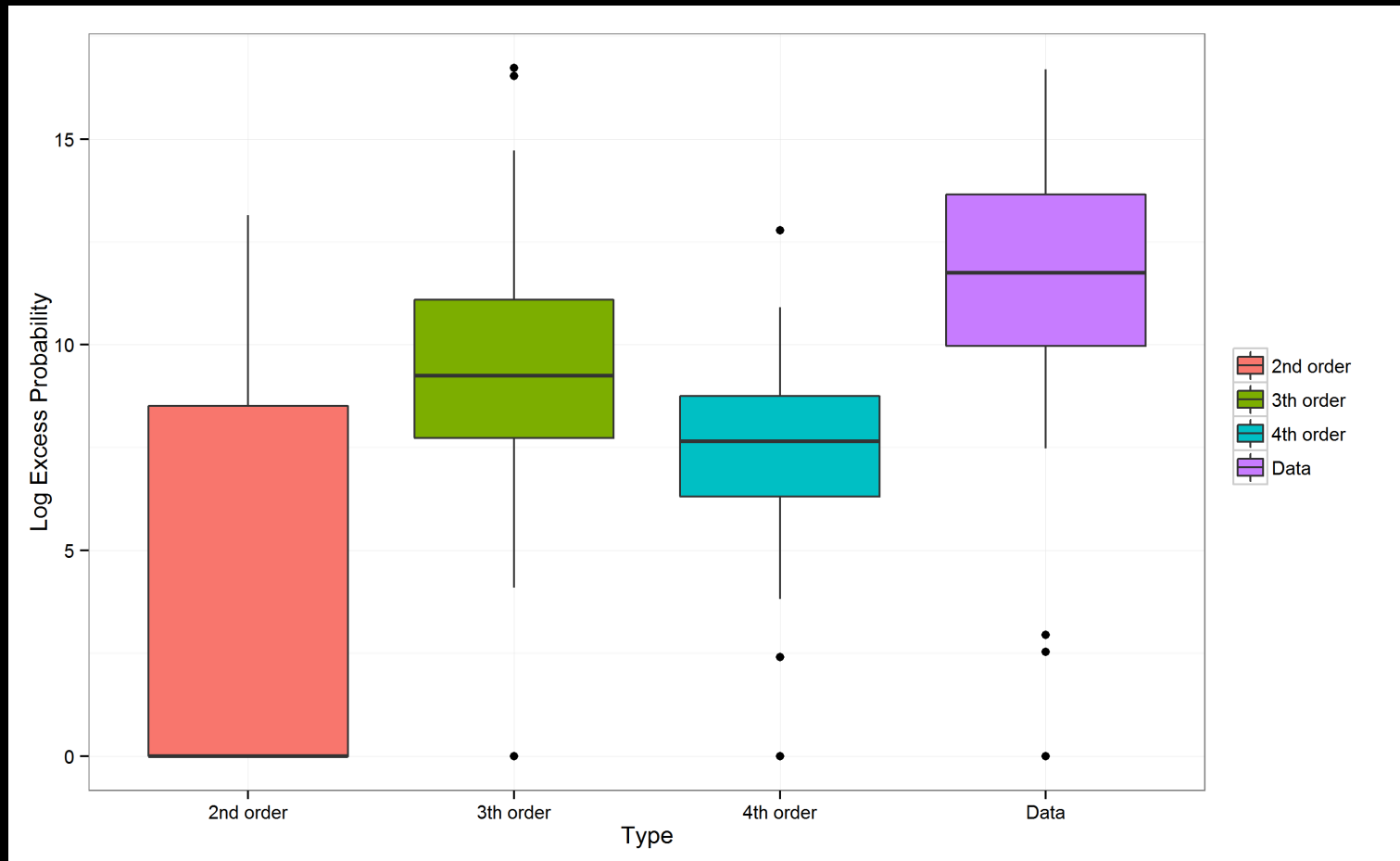
# COVERAGE BY MARKOV ORDER

MIN N = 9, DATA = 55



# LOG EXCESS PROB BY MARKOV ORDER

MIN N = 9, DATA = 55



A black and white photograph of John Coltrane playing the saxophone. He is wearing a dark suit jacket over a light-colored shirt. The lighting is dramatic, highlighting his face and the instrument against a dark background. The word "THANKS!" is written in orange, stylized, bold letters in the upper left corner.

**THANKS!**

All 31 1235 patterns in Coltrane's  
solo on „Giant Steps“

